

DOCKET NO.: REFH-0113

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In Re Application of:

Frederick Herz, et al.

Serial No.: Unknown

Group Art Unit: Unknown

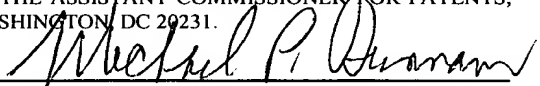
Filing Date: Herewith

Examiner: Not yet assigned

For: SYSTEM AND METHOD FOR SCHEDULING BROADCAST OF AND
ACCESS TO VIDEO PROGRAMS AND OTHER DATA USING CUSTOMER
PROFILES

DATE OF DEPOSIT: November 9, 1999

I HEREBY CERTIFY THAT THIS PAPER IS BEING
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WASHINGTON, DC 20231.


TYPED NAME: Michael P. Dunnam, Esquire
REGISTRATION NO.: 32,611

Assistant Commissioner for Patents
Washington DC 20231

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).

- ☒ In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, or before the mailing date of

a first Office Action on the merits of the above-identified application, no additional fee is required.

- ☐ In accordance with §1.129(a), this Information Disclosure Statement is being filed in connection with ☐the first or ☐second After Final Submission, therefore:
 - ☐ Certification in Accordance with §1.97(e) is attached; or
 - ☐ The fee of \$240.00 as set forth in §1.17(p) is attached.
- ☐ In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, therefore:
 - ☐ Certification in Accordance with §1.97(e) is attached; or
 - ☐ The fee of \$240.00 as set forth in §1.17(p) is attached.
- ☐ In accordance with §1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with §1.97(e); Petition Requesting Consideration of the Information Disclosure Statement; and the fee of \$130.00 as set forth in §1.17(i)(1).
- ☐ Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.
- ☐ Copies of references listed on the attached Form PTO-1449 are enclosed herewith EXCEPT THAT:
 - ☐ In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.

☐ In accordance with §1.98(d), copies of the following references listed on the attached Form PTO-1449 are not enclosed herewith because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application(s) for which a claim for priority under 35 U.S.C. §120 have been made in the instant application:

☒ Copies of references [list as appropriate] listed on the attached Form PTO-1449 were previously cited by or submitted to the Patent and Trademark Office in prior application Serial No. **09/028,024**, filed **02/23/98**.

☒ If any of the foregoing publications are not available to the Examiner, Applicant will endeavor to supply copies at the Examiner's request.

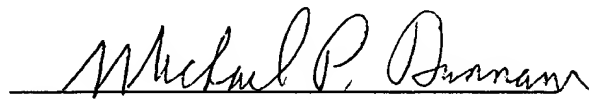
Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050.

This form is submitted in duplicate.

The relevance of those listed references which are not in the English language is as follows:

There are no listed references which are not in the English language.

Date: November 9, 1999


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Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office				Docket No. REFH-0113		Serial No. Unknown	
				Applicant Herz et al.			
				Filing Date Herewith		Group Unknown	
U. S. PATENT DOCUMENTS							
Examiner Initial		Document No.	Date	Name	Class	Subclass	
	AA	4,170,782	10/9/79	Miller	358	84	
	AB	4,264,924	9/28/81	Freeman	358	86	
	AC	4,381,522	4/26/83	Lambert	358	86	
	AD	4,694,490	9/15/87	Harvey et al.	380	20	
	AE	4,704,725	11/3/87	Harvey et al.	380	9	
	AF	4,706,121	11/10/87	Young	358	142	
	AG	4,745,549	5/17/88	Hashimoto	364	402	
	AH	4,751,578	6/14/88	Reiter et al.	358	183	
	AI	4,965,825	10/23/90	Harvey et al.	380	9	
	AJ	4,870,579	9/26/89	Hey	364	419	
	AK	4,977,455	12/11/90	Young	358	142	
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	AM	5,003,591	3/26/91	Kauffman et al.	380	10	
	AN	5,075,771	12/24/91	Hashimoto	358	84	
	AO	5,109,414	4/28/92	Harvey et al.	380	9	
	AP	5,151,789	9/29/92	Young	358	194.1	
	AQ	5,155,591	10/13/92	Wacob	358	86	
	AR	5,223,924	6/29/93	Strubbe	358	86	
	AS	5,230,020	7/93	Hardy et al.	380	21	
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	AU	5,237,157	8/17/93	Kaplan	235	375	
	AV	5,245,420	9/93	Harney et al.	348	7	
	AW	5,251,324	10/93	McMullan, Jr.	348	1	
	AX	5,351,075	9/27/94	Herz et al.	348	1	
	AY	5,353,121	10/4/94	Young et al.	348	563	
	AZ	5,410,344	4/25/95	Graves et al.	348	1	
	BA	5,469,206	11/95	Strubbe et al.	348	12	
EXAMINER				DATE CONSIDERED			

JC884 U.S. PTO
 09/43/102
 11/09/99

Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office				Docket No. REFH-0113		Serial No. Unknown	
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				Filing Date Herewith		Group Unknown	
U. S. PATENT DOCUMENTS							
Examiner Initial		Document No.	Date	Name	Class	Subclass	
	BB	5,483,278	1/96	Strubbe et al.	348	7	
	BC	5,534,911	7/96	Levitan	348	1	
	BD	5,541,638	7/96	Story	348	7	
	BE	5,559,549	9/24/96	Hendricks et al.	348	6	
	BF	5,559,549	9/24/96	Hendricks et al	348	1	
	BG	5,717,923	2/98	Dedrick	704	104X	
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
	BH	Lambert, "Personalized Mass Media Corp. Seeks Patent Partners," <i>Cable Technologies</i> , Nov. 30, 1993					
	BI	Moss, "ADcom Starts Cable-Meter Rollout in California," <i>Multichannel News</i> , April 25, 1994, p. 34					
	BJ	Otis Port, "Wonder Chips - How They'll Make Computing Power Ultrafast and Ultracheap," <i>Business Week</i> , July 4, 1994, pp. 86-92					
	BK	Jonathan Berry, "A Potent New Tool for Selling Database Marketing," <i>Business Week</i> , September 5, 1994, pp. 34-40					
	BL	William L. Thomas, "Electronic Program Guide Applications-The Basics of System Design," 1994 <i>NCTA Technical Papers</i> , pp. 15-20					
	B M	Judith H. Irlen et al., "Multi-Media Information Services: A Laboratory Study," <i>IEEE Communications Magazine</i> , Vol. 26, No. 6, June, 1988, pp. 24-44					
EXAMINER				DATE CONSIDERED			